



Ford Motor Company
Customer-Specific Requirements

For IATF-16949:2016

Effective 1-May-2017

Summary of IATF-16949 Sections 1 through 10 with customer specific content

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1 Scope

1.1 General

IATF16949:2016, First Edition, Oct 1, 2016, “Automotive Quality Management System Standard,” ISO9001:2015, Fifth Edition, 09/15/15, “Quality Management Systems – Requirements”, and this document defines Ford Motor Company and Lincoln Motor Company fundamental quality system requirements for organizations where automotive customer-specified parts, for production and/or service are manufactured. Third party certification to IATF16949 shall meet the following conditions:

The certification scope must include both IATF16949 and the accompanying IATF16949 Ford-Customer Specific Requirements,

Certification Bodies currently contracted and recognized by an IATF Oversight office conduct the certification in compliance with the IATF recognized automotive certification scheme.

The organization shall address all IATF16949:2016 requirements including the requirements of this document in the organization’s quality management system.

References to “Ford” in this document apply to all of Ford Motor Company and Joint Ventures with Ford and Lincoln Motor Company unless otherwise specified.

The English language version of this document shall be the official version for purposes of third party registration.

Sanctioned translations of this document shall:

Be for reference only.

Reference the English version as the official language.

Include Ford Motor Company in the copyright statement.

Any other translations are not authorized.

NOTES:

Copies of this document are available from Ford Motor Company at <https://web.qpr.ford.com/sta/FordspeciATF.pdf> and International Automotive Oversight Board at <http://www.iatfglobaloversight.org/>.

2 Normative references

Note: unless otherwise noted, all references listed throughout these Ford Specific Requirements refer to the latest edition.

Any question about the applicability of a specific reference should be directed to the organization's Supplier Technical Assistance engineer.

Note: Some hypertext links within this document may only be accessible on FSP (Ford Supplier Portal) by organizations contracted by Ford Motor Company (typically Tier 1). Lower tier organizations pursuing IATF 16949 registration may need to gain access to FSP (Ford Supplier Portal) or documents from FSP through a Tier 1.

3 Terms and definitions

Where inconsistent terminology exists between IATF 16949 and this document, this document shall take precedence. Otherwise, the definitions from IATF 16949 apply to this document.

3.1 Active Part

An active part is one currently supplied to the customer for original equipment or service applications. The part remains active until tooling scrap authorization is given by the appropriate customer activity. For parts with no customer-owned tooling or situations where multiple parts are made from the same tool, written confirmation from Ford Engineering and the Buyer is required to deactivate a part.

NOTE: For bulk material, "active part" refers to the bulk material contracted, not the parts that are subsequently produced from that material.

3.2 Aftermarket Parts

Replacement parts not procured or released by Ford Motor Company for service part applications that may not be produced to original equipment specifications.

3.3 APPC

Average Purchased Part Capacity: Capacity, is the organization's capacity commitment (in part count per week) to meet the Average Production Weekly capacity requirement and recorded in Ford's capacity planning systems GCP or MCPV.

3.3 Average Production Weekly (APW)

Average Production Weekly; capacity requirement for sustained production based on a 5 day work week. Organizations: see the Capacity Planning Web Guide available through <https://web.fsp.ford.com/gtc/docs/capacityplan.pdf>

3.4 Capacity verification

A verification methodology included in Ford's Phased PPAP to demonstrate that an

organization can meet Ford's capacity requirements.

3.6 **Consulting**

For the purpose of IATF 16949 and supporting documents, consulting is the provision of training, documentation development, or assistance with implementation of quality systems to a specific customer. If these activities are open to the public, advertised, and not customer specific, they are considered training rather than consulting. Other products, processes or services may be offered directly or indirectly, provided they do not compromise confidentiality or the objectivity or impartiality of its certification process or decisions [refer to IAF GD 8 "Informative Guidance on the Transition to ISO/IEC 17021 Accreditation from ISO/IEC Guide 62 and ISO/IEC Guide 66"], (available through ISO <http://www.iso.org/>).

3.7 **Customer**

For the purposes of IATF 16949, references to "customer" in this document are to be interpreted as the entity, e.g. Ford Motor Company, which is both purchasing and receiving product from the organization complying with IATF 16949.

3.

3.9 **Families of FMEAs (Failure Mode and Effects Analysis)**

Families of FMEAs are FMEAs for multiple parts where the parts are substantially similar in application, design, manufacture, requirements and specification. Examples include right and left mirrors, blue or black interior consoles for the same vehicle and application.

3.10 **Families of Control Plans**

Families of Control Plans are Control Plans for multiple parts where the parts are substantially similar in application, design, manufacture, requirements and specification. Examples include right and left mirrors, blue or black interior consoles for the same vehicle and application.

3.11 **Final Capability Study**

Process capability study using mass production parts from the Capacity Verification full day's run.

3.

3.13 **Ford Motor Company**

The names "Ford Motor Company" or "Ford" refer to the corporate entity comprising all brands under Ford Motor Company, including Lincoln.

3.14 **Ford Engineering**

Ford Motor Company Product Development Engineering, including Program and non-Program Engineering organizations.

3.15 **Gauge families**

Gauge families are measurement devices of the same type, make, and model that are used in a similar environment (temperature, humidity, measurement range, method of measurement, etc.).

3.16 **Global Product Development System**

GPDS is Ford's Product Creation Process and is applicable to all regions and brands. GPDS Awareness Training is available at the Ford Supplier Portal <https://fsp.covisint.com/>, log into

Ford Supplier Portal, and under Applications select "Ford Supplier Learning Institute (FSLI)" then search for "GPDS Awareness Training".

3.17 **IATF (International Automotive Task Force)**

The IATF is an ad hoc group of automotive manufacturers and their respective trade associations, formed to provide improved quality products to automotive customers worldwide. The IATF is responsible for the following:

- Developing a consensus regarding international fundamental quality system requirements, primarily for the participating companies' direct suppliers of production materials, product or service parts or finishing services
- Developing policies and procedures for the common IATF third party registration scheme to ensure consistency worldwide.
- Providing appropriate training to support ISO/TS 16949 requirements and the IATF registration scheme.
- Establishing formal liaisons with appropriate bodies to support IATF objectives.

3.18 **Initial Process Study**

Initial Process Studies are conducted to measure the performance of new or revised processes relative to internal or customer requirements based on a rational sampling plan from a significant production run. Initial process studies should be conducted at different points in the evolution of processes (e.g. on one manufacturing line or tool, then on the remaining manufacturing lines or tools and subsequently on any revised manufacturing lines or tools,). These studies provide the data to determine the process stability and control along with identifying the distribution (e.g. Normal or Uniform Distribution) for a statistically valid analysis.

3.19 **Maximum Production Weekly (MPW)**

Maximum Production Weekly; capacity requirement based on a 6 day work week with no additional tooling, equipment or facilities. Organizations: see the Capacity Planning Web Guide available through <https://web.fsp.ford.com/gtc/docs/capacityplan.pdf>

3.20 **MPPC**

Maximum Purchased Part Capacity: Capacity, is the organization's capacity commitment (in part count per week) to meet the Maximum Production Weekly capacity requirement and recorded in Ford's capacity planning systems GCP or MCPV.

3.21 **Must**

A mandatory requirement

3.22 **Organization**

Facility adding manufacturing value to production materials: providers of production or service parts, or of finishing services such as heat treating, plating, painting directly to Ford Motor Company or other customers subscribing to this document.

Note 1: For the purposes of registration under IATF 16949, the "organization" is the entity normally referred to by Ford as the "supplier". Ford Motor Company will continue to use that term when negotiating with the organization.

Note 2: To avoid additional confusion, although the term "supplier" is used by IATF 16949 to indicate "sub-tier supplier", Ford Motor Company will continue to use the term "sub-tier supplier" in its normal usage.

Note 3: "Design responsible organizations" also provide engineering services. Program specific Engineering Statement of Work defines program specific engineering responsibilities.

Note 4: Sequencing warehouses and other facilities not adding *manufacturing* value to the product are not eligible for stand-alone certification to IATF 16949.

3.23 **Oversight Office**

An organization established by the IATF to implement and manage its IATF 16949 certification scheme. (All IATF-recognized Certification Bodies are managed through Oversight Offices). At present, there are five Oversight Offices:

ANFIA (Associazione Nazionale Filiera Industria Automobilistica) / Italy

IAOB (International Automotive Oversight Bureau) / US

IATF France

SMMT (Society of Motor Manufacturers and Traders) / UK

VDA-QMC (Verband der Automobilindustrie – Qualitäts Management Center) / Germany

3.24 **PPC**

Purchased Part Capacity: a term referring to both Average Purchased Part Capacity and Maximum Purchased Part Capacity.

3.25 **PPM (Part Per Million quality metrics)**

A method of stating the performance of a process in terms of actual nonconforming material. PPM data can be used to prioritize corrective actions.

3.32 **SIM**

Supplier Improvement Metrics – supplier performance measurements available through FSP (Ford Supplier Portal <https://fsp.covisint.com>).

3.34 **SREA**

Supplier Request for Engineering Approval.

3.35 **STA**

Supplier Technical Assistance – Ford Motor Company’s team dedicated to assist in the development of supplier manufacturing processes.

3.36 **Sub-tier Supplier**

Provider of production materials, or production or service parts, directly to an organization complying with IATF16949. Also included are providers of heat treating, painting, plating or other finishing services to organizations. Also known as “supplier” in IATF 16949 certification terminology.

3.37 **System Design Specification (SDS):**

- 1) Describes the system in terms of interfacing subsystems and systems,
- 2) Consolidates system-level requirements from a variety of documented sources; provides selected and summarized text and metrics from these sources,
- 3) Documents requirements developed by the team not captured elsewhere,
- 4) Provides a means to sort the same set of requirements by type, by sub-system, by interfacing sub-system, and by source,
- 5) Supports systems engineering and thinking,
- 6) Accommodates continuous improvement of requirements, standards, and metrics as they are developed and refined.

3.38 **Value-Added Production Processes**

Manufacturing activities or operations for which a customer would be willing to pay, given the option.

See also **IATF16949:2016** definition of “manufacturing”, “site”, and “remote location”.

3.39 **8D Process**

A disciplined process that addresses problem solving in a methodical and analytical method, addressing root causes to eliminate the source(s) of the concern.

4 Context of the organization

4.1 Understanding the organization and its context

No Ford Customer-Specific Requirement for this section.

4.2 Understanding the needs and expectations of interested parties

No Ford Customer-Specific Requirement for this section.

4.3 Determining the scope of the quality management system

The structure of this document aligns with the requirements with the applicable sections of IATF 16949. Several section headers are followed by the statement “No Ford Customer-Specific Requirement for this section” to verify that there is no auditable Ford-specific requirement for this section.

The presence of this statement does not mean that no other commercial or technical requirements exist for the subject addressed in the section, or that this statement supersedes existing commercial or technical requirements.

Tooling & Equipment suppliers to Ford Motor Company are not eligible for certification to IATF 16949. Registration to ISO 9001 is acceptable.

Third-Party Registration

To achieve Q1 (refer to <https://web.qpr.ford.com/sta/Q1.html>), Production and Service Part organizations supplying product to Ford shall be third-party registered to IATF16949 through an IATF-recognized Certification Body. The official list of IATF-recognized Certification Bodies is available through <http://www.iatfglobaloversight.org/certBodies.aspx>

A sub-tier supplier hired by the organization to perform services not directly related to a Ford Motor Company contract (e.g. floor cleaning or grass cutting) is not impacted in any way by the sub-tier supplier development or other sub-tier supplier requirements stated in IATF 16949.

Evidence of IATF 16949 Certification Verification

Organizations shall record evidence of their certification to IATF 16949 in GSDB Online available through Ford Supplier Portal <https://web.gsdb2.ford.com/GSDBBeans/servlet/gsdbeans.web.lib.GSDB>

Notification of IATF 16949 Registration Status Change

Organizations shall notify Ford of any change in their IATF 16949 registration status via updating their certification information in GSDB Online.

Such changes include, but are not limited to:

- Initial certification.
- Recertification.
- Transfer of certification to a new Certification Body
- Certificate withdrawal.
- Certificate cancellation without replacement.

IATF 16949 Certification Waiver

Ford may, at its option, fully waive certain organizations from IATF 16949 certification. This waiver generally applies to those organizations whose quality management system is acceptable without certification to IATF 16949, but Ford still requires the suppliers.

Identification of candidate organizations for waiver from IATF 16949 certification is the responsibility of Ford. Verification and maintenance of waiver status is the responsibility of Ford.

4.3.1 Determining the scope of the quality management system - supplemental

No Ford Customer-Specific Requirement for this section.

4.3.2 Customer-specific requirements

No Ford Customer-Specific Requirement for this section.

4.4 Quality Management system and its processes

No Ford Customer-Specific Requirement for this section.

4.4.1 Quality Management system and its processes

4.4.1.1 Conformance of products and processes

No Ford Customer-Specific Requirement for this section.

4.4.1.2 Product safety

No Ford Customer-Specific Requirement for this section.

4.4.2 Quality Management system and its processes

No Ford Customer-Specific Requirement for this section.

5 Leadership

5.1 Leadership and commitment

5.1.1 General

No Ford Customer-Specific Requirement for this section.

5.1.1.1 Corporate Responsibility

The organization shall comply with Basic Working Conditions in the Global Terms and Conditions and the related Supplier Social Responsibility and Anti-Corruption Requirements Web-Guide <https://web.fsp.ford.com/gtc/docs/hrandwc.pdf>. The organization is also encouraged to adopt and enforce a similar code with Ford's Policy Letter #24 available through <http://sustainability.ford.com> (go to "downloads" at the bottom of the page, then search for "Code of Basic Working Conditions" in the list of downloads).

5.1.1.2 Process effectiveness and efficiency

No Ford Customer-Specific Requirement for this section.

5.1.1.3 Process owners

No Ford Customer-Specific Requirement for this section.

5.1.2 Customer focus

The organization shall demonstrate enhanced customer satisfaction by meeting the continuous improvement requirements of Q1, as demonstrated in the organization's QOS (Quality Operating System).

5.2 Policy

5.2.1 Establishing the quality policy

No Ford Customer-Specific Requirement for this section.

5.2.2 Communicating the quality policy

No Ford Customer-Specific Requirement for this section.

5.3 Organizational roles, responsibilities and authorities

No Ford Customer-Specific Requirement for this section.

5.3.1 Organizational roles, responsibilities and authorities - supplemental

The organization shall notify Ford Motor Company Supplier Technical Assistance in writing within 10 working days of any changes to senior management responsible for Product Quality or company ownership.

5.3.2 Responsibility and authority for product requirements and corrective actions

No Ford Customer-Specific Requirement for this section.

6 Planning

6.1 Actions to address risks and opportunities

6.1.1 See ISO 9001:2015 requirements.

No Ford Customer-Specific Requirement for this section.

6.1.2 See ISO 9001:2015 requirements.

6.1.2.1 Risk analysis

No Ford Customer-Specific Requirement for this section.

6.1.2.2 Preventive action

No Ford Customer-Specific Requirement for this section.

6.1.2.3 Contingency plans

The Organization shall notify the Ford receiving plant, the buyer and the STA engineer within 24 hours of organization production interruption. The organization shall communicate the nature of the problem to Ford and take immediate actions to assure supply of product to Ford.

Note: Production interruption is defined as an inability to meet the Ford specified production capacity volume.

Supply Chain Risk Analysis

The Organization shall have a documented Supply Risk Management Operating System in place –

The Organization shall ensure that its Quality Operating System Supply Risk Management process includes:

- The application of the requirements for Risk analysis, preventive actions and contingency planning described in section 6.1.2.1 through 6.1.2.3 of IATF 16949:2016 through the Organization's supply chain.
- Documentation of the Organization's supply chain (supplier name, location, parts) for all Ford-specified parts and associated raw materials
- A system to assess and monitor supply chain financial and operational risks.
 - A list of Ford endorsed supply chain monitoring services and tools is available through Appendix A
https://web.qpr.ford.com/sta/Ford_IATF_16949_CSR_Appendix_A.pdf to assist in the establishment of the organization's Quality Operating System Supply Risk Management process

Ford reserves the right to review the documented information of the supply chain risk assessment reviews

6.2 Quality objectives and planning to achieve them

6.2.1 See ISO 9001:2015 requirements.

No Ford Customer-Specific Requirement for this section.

6.2.2 See ISO 9001:2015 requirements.

No Ford Customer-Specific Requirement for this section.

6.2.2.1 Quality objectives and planning to achieve them — supplemental

No Ford Customer-Specific Requirement for this section.

6.3 Planning of changes

See ISO 9001:2015 requirements.

7 Support

7.1 Resources

7.1.1 General

No Ford Customer-Specific Requirement for this section.

7.1.2 People

See ISO 9001:2015 requirements.

7.1.3 Infrastructure

See ISO 9001:2015 requirements.

7.1.3.1 Plant, facility, and equipment planning

Capacity Reporting

Whenever the organization reports Purchased Part Capacity (Average Purchased Part Capacity – APPC, or Maximum Purchased Part Capacity – MPPC) to Ford in demonstration of compliance to the APW/MPW capacity requirements, the organization shall use the Capacity Analysis Report to determine the values of APPC and MPPC reported.

Where equipment is not dedicated to the Ford part being reported for PPC, the organization shall use either the Shared Loading Plan in the Capacity Analysis Report or the detailed shared loading tool.

The Capacity Analysis Report is available through https://web.qpr.ford.com/sta/Capacity_Analysis_Report.xlsx

Reporting of Purchased Part Capacity to Ford may include the following:

- Quarterly Reporting of PPC to Ford's Capacity Planning systems
- Responding to a Request for Quote
- Responding to a capacity study
- Capacity Verification associated with PPAP
- Any other Ford request for reporting Purchased Part Capacity

Note: For the APPC and MPPC to be acceptable, the APPC and MPPC must meet or exceed the required capacity – APW in a 5 day operating pattern and MPW in 6-day operating pattern respectively.

Organization personnel completing the Capacity Analysis Report (CAR) are required to have completed the latest Capacity Analysis training available via https://www.lean.ford.com/cqdc/supplier_training.asp,

Capacity Planners are to review the Capacity Analysis training annually. If the Capacity Analysis training is updated, Capacity Planners are required retake the

Capacity Planning training and to re-register in the capacity Supplier Directory
<https://web.supplierdirectory.ford.com/sd/homePage>.

7.1.4 Environment for the operation of processes

No Ford Customer-Specific Requirement for this section.

7.1.4.1 Environment for the operation of processes — supplemental

No Ford Customer-Specific Requirement for this section.

7.1.5 Monitoring and measuring resources

7.1.5.1 General

See ISO 9001:2015 requirements.

7.1.5.1.1 Measurement system analysis

Gauging requirements

All gauges used for checking Ford components/parts per the control plan shall have a gauge R&R performed in accordance with the appropriate methods described by the latest AIAG Measurement Systems Analysis Manual (MSA) to determine measurement system variability. The Gauge R&R is to be completed using Ford parts.

The control plan identifies which gauges are used for each measurement.

Any measurement equipment not meeting the MSA guidelines must be approved by STA.

Family of gauges

Where multiple gauges of the same make, model, size, method of use and application (including range of use) are implemented for the same part, use of a single gauge R&R covering those multiple gauges (family of gauges) requires STA approval.

Parts and operators for Gauge R&R studies

At a minimum:

Variable gauge studies should utilize a minimum of 10 parts, 3 operators and 3 trials.

Attribute gauge studies should utilize a minimum of 50 parts, 3 operators, 3 trials.

See the Ford PPAP customer specifics for details on attribute gauge measurement systems analysis requirements
https://web.qpr.ford.com/sta/Ford_Specifics_for_PPAP.pdf

7.1.5.2 Measurement traceability

See ISO 9001:2015 requirements.

7.1.5.2.1 Calibration/verification records

No Ford Customer-Specific Requirement for this section.

7.1.5.3 Laboratory requirements

No Ford Customer-Specific Requirement for this section.

7.1.5.3.1 Internal laboratory

No Ford Customer-Specific Requirement for this section.

7.1.5.3.2 External laboratory

The organization shall approve commercial/independent laboratory facilities prior to use. The acceptance criteria should be based on the latest ISO/IEC 17025 (available through ISO <http://www.iso.org/>), or national equivalent, and shall be documented.

Accreditation to ISO/IEC 17025 or national equivalent is not required.

7.1.6 Organizational knowledge

See ISO 9001:2015 requirements.

7.2 Competence

See ISO 9001:2015 requirements.

7.2.1 Competence — supplemental

Training shall include the appropriate Ford systems.

Ford training opportunities are available through Ford Supplier Learning Institute <https://fsp.covisint.com> log into Ford Supplier Portal and then go to the Ford Supplier Learning Institute (FSLI) application. Additional training is available through <https://www.lean.ford.com/cqdc/>

7.2.2 Competence — on-the-job training

No Ford Customer-Specific Requirement for this section.

7.2.3 Internal auditor competency

No Ford Customer-Specific Requirement for this section.

7.2.4 Second-party auditor competency

No Ford Customer-Specific Requirement for this section.

7.3 Awareness

See ISO 9001:2015 requirements.

7.3.1 Awareness — supplemental

No Ford Customer-Specific Requirement for this section.

7.3.2 Employee motivation and empowerment

No Ford Customer-Specific Requirement for this section.

7.4 Communication

See ISO 9001:2015 requirements.

7.5 Documented information

7.5.1 General

See ISO 9001:2015 requirements.

7.5.1.1 Quality management system documentation

No Ford Customer-Specific Requirement for this section.

7.5.2 Creating and updating

Note 1: Where the organization uses Ford documents / instructions or other documents of external origin, the organization ensures that the appropriate revision level is used – this is either the most current version available from FSP (Ford Supplier Portal <https://fsp.covisint.com>) or as specified by Ford Motor Company.

Note 2: Engineering Standards may be obtained from the following sources:

IHS Markit

<http://www.ih.com/>

ILI Infodisk Inc.

<http://www.ili-info.com/> .

If any standards are not available through the above sources, organizations should contact Ford Engineering, or for organizations with Ford Intranet access, <http://www.rlis.ford.com/cgi-bin/standards/iliaccess.pl/> may provide a more complete inventory.

Ford Engineering Specifications may be available in Ford's CAD database, TeamCenter, contact the Ford PD engineer for details.

Additionally, Ford Engineering CAD and Drafting Standards (FECDS) are available through

<https://team.extsp.ford.com/sites/C3PNGMethods/C3PNGMethods.html> .

Engineering Specifications

Ford requires all manufacturing sites to report all materials per WSS-M99P9999-A1, as noted in PPAP, Ford Specific Instructions.

These requirements are detailed on Ford Supplier Portal <https://fsp.covisint.com> (Important Documents – RSMS Communication Package).

Engineering Specification (ES) Test Performance Requirements

The goal of ES testing is to confirm that the parts meet design intent. ES test failure shall be cause for the organization to stop production shipments immediately and take containment actions. The organization shall immediately notify Ford Engineering, STA and the using Ford Motor Company facility of any test failure, suspension of shipments, and identification of any suspect lots shipped. After the root cause(s) of ES test failure are determined, corrected, and

verified, the organization may resume shipments. The organization shall prevent shipment of suspect product without sorting or reworking, to eliminate the nonconformance.

These ES requirements apply equally to sub-tier suppliers.

7.5.3 Control of documented information

7.5.3.1 and 7.5.3.2

See ISO 9001:2015 requirements.

7.5.3.2.1 Record retention

Inspection and Measurement Records

The organization shall retain records of process control data, product inspection data and records of appropriate reaction actions to readings outside the specification in a recoverable format for a minimum of 2 years, available to Ford Motor Company upon request. The organization shall record the actual values of process parameters and product test results (variable or attribute). Simple pass/fail records of inspection are not acceptable for variable measurements.

Audits

The organization shall retain records of internal quality system audits and management review for three years.

APQP

The organization shall maintain the final External Supplier APQP/PPAP Readiness Assessment (Schedule A) for the life of the part (production and service) plus one year as part of the PPAP record.

Training

The organization shall retain records of training for 3 years from the date of the training.

Job set up

The organization shall retain records of job set-up verifications for 1 year.

Retention periods longer than those specified above may be specified by an organization in its procedures.

Maintenance

The organization shall retain records of maintenance for 1 year.

The organization shall retain records of measurement equipment calibration for one calendar year or superseded, whichever is longer.

Ford reserves the right to modify specific record retention requirements.

These requirements do not supersede any regulatory requirements.

8 Operation

8.1 Operational planning and control

Statement of Work

Appropriate to the organization's responsibilities, the organization shall meet the requirements of the Statement of Work(s). There may be an Engineering Statement of Work (available from the Ford Product Development Engineer), an Assembly Statement of Work, a Manufacturing Statement of Work or other types available from the appropriate Ford organization. See the Global Product Development System (GPDS) for specific timing.

APQP

The External Supplier APQP/PPAP Readiness Assessment (Schedule A) is available through <https://web.qpr.ford.com/sta/APQP.html>

The organization shall submit completed Schedule As as specified in the Schedule A notification letter for each program (monthly and after any significant change in APQP status). This applies to priority and non-priority suppliers, see Supplier Engagement Process on <https://web.qpr.ford.com/sta/GPDSSupplierEngagement.html>

Even if the organization has not received a Schedule A notification letter for a program, but has New Tooled End Items (NTEIs) for a Ford program launch, the organization is still required to complete a Schedule A for each program milestone for all NTEIs and retain the final Schedule A in the PPAP file for the life of part (production and service) plus one year.

Prototypes

When the organization is also sourced with the production of prototypes, effective use should be made of data from prototype fabrication to plan the production process. The organization records the dimensional data per the Prototype Build Control Plan, reviews the measured characteristics with Ford PD Engineer and obtains approval on the results from the Ford PD Engineer with confirmed acceptance of parts. If prototype parts are not fully compliant to specification, Ford PD Engineering can approve use of the part with a WERS Alert.

The organization should use the APQP/PPAP Evidence Workbook to record prototype part data for Ford PD review. The APQP/PPAP Evidence Workbook is available through <https://web.qpr.ford.com/sta/APQP.html> .

Prototype Tooling

Within 30 days of Production Verification (PPAP Phase 2) completion the organization shall i) complete the “Prototype Disposal Request” form, which can be obtained through a request to fordtool@ford.com; and ii) submit the completed form to D&R supervisor for signature concurrence; iii) submit signed form to fordtool@ford.com for processing

8.1.1 Operational planning and control — supplemental

No Ford Customer-Specific Requirement for this section.

8.1.2 Confidentiality

No Ford Customer-Specific Requirement for this section.

8.2 Requirements for products and services

8.2.1 Customer communication

After part approval, the organization shall use the SREA (Supplier Request for Engineering Approval) process to submit approval requests for organization-initiated process change proposals.

Refer to <https://web.qpr.ford.com/sta/SREA.html>

8.2.1.1 Customer communication — supplemental

No Ford Customer-Specific Requirement for this section.

8.2.2 Determining the requirements for products and services

See ISO 9001:2015 requirements.

8.2.2.1 Determining the requirements for products and services — supplemental

No Ford Customer-Specific Requirement for this section.

8.2.3 Review of the requirements for products and services

8.2.3.1

See ISO 9001:2015 requirements.

8.2.3.1.1 Review of the requirements for products and services —

supplemental

The customer authorization for waiving formal review may be obtained from the appropriate Ford Organization (Ford Engineering, Purchasing, etc.).

8.2.3.1.2 Customer-designated special characteristics

Symbols

The organization is to contact Ford Engineering to obtain concurrence for the use of Ford Motor Company special characteristics symbols defined in the table below.

Characteristic	Symbol
CRITICAL CHARACTERISTIC – (CC) (With Safety or Legal Consideration)	∇
SIGNIFICANT CHARACTERISTIC – (SC) (Not Relating to Safety or Legal Considerations)	None
High Impact (HI) Characteristics	None
Operator Safety Characteristics (OS)	None

For internal use, the organization may develop its own special characteristics symbols.

The Special Characteristics definitions are available in the Ford FMEA Handbook.

Ford Designated Special Characteristics

Critical Characteristic (∇) Parts

Ford designated Control Item Parts are selected products identified by Ford Engineering, concurred by Ford/ organization manufacturing and identified on drawings and specifications with an inverted delta (∇) preceding the part. Control Item products have Critical Characteristics that may affect safe vehicle operation and/or compliance with government regulations. Unique symbols identifying safety and regulatory characteristics on components equivalent to the inverted delta (∇) symbol.

Fasteners with Critical Characteristics

For fasteners, base part numbers beginning with “W9” are to be treated as inverted delta.

Critical Characteristics for fasteners may be designated by methods defined in Ford Engineering Fastener Specifications available through Ford Global Materials and Fastener Standards.

Other Special Characteristics

Significant and High Impact and Operator Safety Characteristics are described in the Ford FMEA Handbook.

8.2.3.1.3 Organization manufacturing feasibility

Manufacturing feasibility reviews for updated or new manufacturing processes or capacity increases requiring tooling or equipment shall be documented as specified on the Manufacturing Feasibility form (both initial feasibility and final feasibility)

https://web.qpr.ford.com/sta/Feasibility_Form.xls , per the timing specified on <https://web.qpr.ford.com/sta/APQP.html> and shall include all appropriate organization and Ford organizations.

8.2.3.2

See ISO 9001:2015 requirements.

8.2.4 Changes to requirements for products and services

See ISO 9001:2015 requirements.

8.3 Design and development of products and services

8.3.1 General

See ISO 9001:2015 requirements.

8.3.1.1 Design and development of products and services — supplemental

The organization should consider Incoming inspection when developing control strategies to prevent the use of non-conforming incoming material.

8.3.2 Design and development planning

See ISO 9001:2015 requirements.

8.3.2.1 Design and development planning — supplemental

FMEA and Control Plan Development

FMEAs and Controls Plans shall ensure that the manufacturing process complies with Critical to Quality process requirements as specified in the Supplier Manufacturing Health Charts located at https://web.qpr.ford.com/sta/Supplier_Manufacturing_Health_Charts.html .

Approvals required for Inverted Delta parts

Process FMEA(s) and Control plan(s) for inverted delta component(s) require Ford Engineering & STA approval in writing.

Approvals required for all parts where the organization is Design Responsible

Design FMEA(s) prepared by design responsible organizations require Ford Engineering approval in writing.

Approval of revisions to these documents after initial acceptance per the above is also required.

Ford reserves the right to require approval of FMEA and/or control plans for any part from any organization.

FMEA requirements

Organizations shall comply with the Ford FMEA Handbook requirements see FSP Document Library https://fsp.portal.covisint.com/web/portal/document_library . Organizations complying with the Ford FMEA Handbook will meet the FMEA and related requirements of the Q1 Manufacturing Site Assessment.

Families of FMEAs

The organization may write FMEAs for families of parts, where typically the only difference in the parts is dimensional, not form, application or function. The organization should obtain STA review and concurrence prior to use of family process FMEAs. The organization should obtain Ford PD review and concurrence prior to use of family design FMEAs.

FMEA documentation

Organizations are to provide copies of FMEA documents to Ford Motor Company upon request.

Special Characteristic traceability for build to print organizations

For build to print organizations, the organization shall obtain from Ford DFMEA information (including potential Critical Characteristics – YCs and potential Significant Characteristics – YSs) to develop the PFMEA and special characteristics (CC, SC, HI and OS, as appropriate). The organization shall document special characteristics on the Special Characteristics Communication and Agreement Form – SCCAF (FAF03-111-2) including where special characteristics are controlled at sub-tier suppliers, and obtain Ford approval. The SCCAF template is available through APQP/PPAP Evidence Workbook (through <https://web.qpr.ford.com/sta/APQP.html>)

This also applies to Ford-directed sub-tier suppliers without a Multi-Party Agreement.

Documentation of Controls for Critical Characteristics

Both build-to-print and design responsible organizations identify in the APQP/PPAP Evidence Workbook the special controls to prevent shipment of any nonconformance to Ford specified Critical Characteristics, regardless of the location of the special controls in the supply chain (tier 1 through tier N).

Control Plans

All Ford Motor Company parts shall have Control Plans (or Dynamic Control Plans – DCP if required by Powertrain).

Special Characteristic Traceability

Special Characteristics and control approach are traceable from the DFMEA through the PFMEA and the SCCAF to the Control Plan and recorded in the APQP/PPAP Evidence Workbook.

Ongoing Engineering Specification testing documentation

Any revisions to the Product Validation Engineering Specification or other inspection frequencies in the Control Plans and PFMEAs require Ford approval through the Supplier Request for Engineering Approval (SREA)

Pre-Launch Control Plans

Pre-Launch Control Plans shall be completed and utilized during production of parts from <TT>/<Unit TT> until final process capability approval is achieved.

Note: the Production Control plan may be used for demonstration of Phase 3 with STA concurrence

Submission of Pre-Launch Control Plan Data

Organizations providing parts to Ford Powertrain plants shall submit, to the Ford Powertrain Plant, the Pre-Launch Control Plan data for all <Unit TT> and <Unit PP> parts as specified by Ford.

Control Item (∇) Fasteners

The following control shall be included in the Control Plan for fasteners that are Control Items:

Material Analysis – Heat-Treated Parts

Prior to release of metal from an identified mill heat, a sample from at least one coil or bundle of wire, rod, strip, or sheet steel shall be analyzed and tested to determine its conformance to specifications for chemical composition and quenched hardness. The organization shall test a sample from each additional coil or bundle in the heat for either chemical composition or quenched hardness. The organization shall document the results and include the steel supplier's mill heat number.

This requirement applies to both purchased material and material produced by the organization.

Material Analysis – Non Heat-Treated Parts

The organization shall visually check the identification of each coil or bundle of wire, rod, strip, or sheet steel to determine that the mill heat number agrees with the steel supplier's mill analysis document and applicable specifications. The organization shall test each coil or bundle for hardness and other applicable physical properties.

Lot Traceability

The organization shall maintain lot traceability.

8.3.2.2 Product design skills

No Ford Customer-Specific Requirement for this section.

8.3.2.3 Development of products with embedded software

No Ford Customer-Specific Requirement for this section.

8.3.3 Design and development inputs

See ISO 9001:2015 requirements.

8.3.3.1 Product design input

No Ford Customer-Specific Requirement for this section.

8.3.3.2 Manufacturing process design input

No Ford Customer-Specific Requirement for this section.

8.3.3.3 Special characteristics

See 8.2.3.1.2 for Ford Customer-Specific Requirement regarding customer-defined symbols.

8.3.4 Design and development controls

The organization shall perform Design Verification (DV) to show conformance to the appropriate Ford Engineering requirements: Attribute Requirements List (ARL) and System Design Specification (SDS). The organization shall record the Design Verification methods with the test results and submit to Ford Product Engineering for approval.

For organizations responsible for component level Design Verification (DV) testing, the organization shall have a documented Design Verification Plan and Report (DVP&R) that includes organization /sub-tier supplier and Ford responsible test(s) as applicable. The organization provides evidence of successful completion on all component level DV testing on the DVP&R. The organization shall obtain Ford PD engineer approval for all tests and results. These requirements apply to all organizations; regardless of the organization's or part's PPAP submission level or design responsibility.

ARLs and SDSs are available from Ford Product Engineering.

The organization shall use GPDS (Global Product Development System) when reviewing product design and development stages. Information on GPDS is available through FSP (Ford Supplier Portal <https://fsp.covisint.com>) log into Ford Supplier Portal and then go to the Ford Supplier Learning Institute (FSLI) application.

Product Development

For Inverted Delta (∇) parts, design responsible organizations shall include Ford Engineering and Assembly / Manufacturing in GPDS milestone design reviews, as appropriate.

Where feasible, design responsible organizations shall include Ford Engineering and Ford Assembly and/or Manufacturing in design reviews for all Ford parts.

8.3.4.1 Monitoring

No Ford Customer-Specific Requirement for this section.

8.3.4.2 Design and development validation

No Ford Customer-Specific Requirement for this section.

8.3.4.3 Prototype programme

The organization is responsible for the quality of the parts it produces and for any subcontracted services, including sub-tier suppliers specified by Ford Motor Company without a Multi-Party Agreement. This applies to all phases of product development, including prototypes. Individual Statements of Work may specify alternate responsibilities. See GPDS for additional information on prototype programs on Ford Supplier Portal.

8.3.4.4 Product approval process

Production Part Approval Process

For production parts and approval of components from sub-tier suppliers, the organization shall comply with the AIAG Production Part Approval Process (PPAP) manual and Ford's Global Phased PPAP available through https://web.qpr.ford.com/sta/Phased_PPAP.html . Additional requirements are specified in Q1 <https://web.qpr.ford.com/sta/Q1.html>.

For service parts, in addition to meeting the requirements of the AIAG Production Part Approval Process (PPAP) manual, the organization must comply with the AIAG Service Production Part Approval Process (Service PPAP) manual.

Submission of Sub-tier supplier PPAP

Evidence of sub-tier component part approvals may be a summary (approved PSWs, a listing of PSW approvals or equivalent).

Organization initiated changes

Per PPAP, the organization shall submit via WERS all organization-initiated design change proposals, unless the organization or sub-tier supplier does not have access to WERS.

After SREA approval and change implementation, all changes require PPAP approval and functional trial approval or PPAP approval and functional trial waiver prior to shipping production quantities.

STA will not grant full PPAP approval by if the part or manufacturing process is under WERS Alert, per exception management process.

See https://web.qpr.ford.com/sta/Phased_PPAP.html

SREAs for service parts

The organization should process supplier-initiated change requests associated with Service-Unique parts no longer used in Ford production via the applicable FCSD Service Part Deviation SREA process found via <https://web.srea.ford.com/> through the Ford Supplier Portal. Contact your local FCSD STA engineer for further clarification.

8.3.5 Design and development outputs

See ISO 9001:2015 requirements.

8.3.5.1 Design and development outputs — supplemental

Assistance in C3P or legacy data system compatibility with Ford CAD systems is available through <https://web.c3p.ford.com/index.html>

8.3.5.2 Manufacturing process design output

No Ford Customer-Specific Requirement for this section.

8.3.6 Design and development changes

See ISO 9001:2015 requirements.

8.3.6.1 Design and development changes — supplemental

No Ford Customer-Specific Requirement for this section.

8.4 Control of externally provided processes, products and services

8.4.1 General

See ISO 9001:2015 requirements.

8.4.1.1 General — supplemental

No Ford Customer-Specific Requirement for this section.

8.4.1.2 Supplier selection process

The Organization's supplier selection process should include evaluation of the supplier's supply chain management system. The Organization shall complete a financial assessment of the supply chain at a minimum annually, in conjunction with the annual audit program (see 9.2.2.2 of IATF 16949), not just at the initial supplier selection.

8.4.1.3 Customer-directed sources (also known as "Directed-Buy")

When required by the contract with Ford, the organization shall obtain approval from Ford Motor Company prior to sourcing sub-tier suppliers. Please contact the Ford Buyer.

8.4.2 Type and extent of control

See ISO 9001:2015 requirements.

8.4.2.1 Type and extent of control — supplemental

The organization shall have incoming product quality measures and shall use those measures as key indicators of sub-tier supplier product quality management.

8.4.2.2 Statutory and regulatory requirements

Applicable regulations shall include international requirements for export vehicles as specified by Ford Motor Company, e.g. plastic part marking (E-4 drafting standard –WSS-M99P9999-A1 and European End of Life of Vehicle (ELV) – available on FSP (Ford Supplier Portal <https://fsp.covisint.com>).

Material reporting requirements for ELV are specified by WSS-M99P9999-A1 under "Important Documents".

8.4.2.3 Supplier quality management system development

The organization may meet this requirement by successful assessments of the Sub-tier suppliers per the authorization stated on <https://web.qpr.ford.com/sta/>. The frequency of these reviews shall be appropriate to the sub-tier supplier impact on customer satisfaction.

Sub-tier supplier quality management system requirements

- Where a sub-tier supplier is not third party certified to ISO/TS 16949, Ford reserves the right to require the organization to ensure sub-tier supplier compliance with the “Minimum Automotive Quality Management System Requirements for Sub-tier Suppliers” available through <http://iatfglobaloversight.org/default.aspx>. Evidence of effectiveness shall be based on having a defined process and implementation of the process including measurement and monitoring.
- Where any organization has sub-tier suppliers not third party certified to ISO/TS 16949, the organization is encouraged to require sub-tier supplier compliance with the “Minimum Automotive Quality Management System Requirements for Sub-tier Suppliers”.

Ford or organization second party assessment or third party certification of sub-tier suppliers does not relieve the organization of full responsibility for the quality of supplied product from the sub-tier supplier (including Ford-directed sub-tier suppliers without a Multi-Party Agreement).

Although all sub-tier suppliers must be assessed per this section, sub-tier supplier improvement efforts shall focus on those sub-tier suppliers with the highest impact on Supplier Improvement Metrics (SIM).

Sub-tier supplier Management Process

Organizations are encouraged to apply the principles outlined in “CQI-19 AIAG Sub-tier Supplier Management Process Guideline” to all their sub-tier suppliers.

Additionally, Ford reserves the right to require the organization to apply the principles outlined in “CQI-19 AIAG Sub-tier Supplier Management Process Guideline” to address issues identified in the organization’s supplier development and management process. Ford will communicate the requirement to apply CQI-19 to the specifically selected organization(s) based on sub-tier supplier management issues attributed to the organization. Evidence of effectiveness

shall be based on having a defined process and implementation of the process including measurement and monitoring.

Critical Characteristic Controls at the sub-tier suppliers

For Critical Characteristics, the responsible organization ensures that sub-tier suppliers have controls in place to prevent shipment of non-conforming product at the location where the associated physical characteristics are manufactured by sub-tier suppliers. The sub-tier supplier controls for the Critical Characteristics are identified by the organization in the APQP/PPAP Evidence Workbook. This also applies to Ford-directed sub-tier suppliers without a Multi-Party Agreement.

8.4.2.3.1 Automotive product-related software or automotive products with embedded software

No Ford Customer-Specific Requirement for this section.

8.4.2.4 Supplier monitoring

In support of Ford's expectation of 100% on-time delivery, the organization shall also require 100% on-time delivery from sub-tier suppliers. The organization shall communicate any delay or risk to the affected Ford customer.

The organization should monitor and minimize any premium freight expenses related to sub-tier suppliers for late deliveries.

These also apply to Ford-directed sub-tier suppliers without a Multi-Party Agreement.

8.4.2.4.1 Second-party audits

No Ford Customer-Specific Requirement for this section.

8.4.2.5 Supplier development

No Ford Customer-Specific Requirement for this section.

8.4.3 Information for external providers

See ISO 9001:2015 requirements.

8.4.3.1 Information for external providers — supplemental

No Ford Customer-Specific Requirement for this section.

8.5 Production and service provision

8.5.1 Control of production and service provision

See ISO 9001:2015 requirements.

8.5.1.1 Control plan

No Ford Customer-Specific Requirement for this section.

8.5.1.2 Standardised work — operator instructions and visual standards

Operators shall use the most current work instructions.

The organization shall ensure that work instructions contain reaction plans for non-conformances showing the specific required steps.

8.5.1.3 Verification of job set-ups

No Ford Customer-Specific Requirement for this section.

8.5.1.4 Verification after shutdown

No Ford Customer-Specific Requirement for this section.

8.5.1.5 Total productive maintenance

No Ford Customer-Specific Requirement for this section.

8.5.1.6 Management of production tooling and manufacturing, test, inspection tooling and

No Ford Customer-Specific Requirement for this section.

8.5.1.7 Production scheduling

No Ford Customer-Specific Requirement for this section.

8.5.2 Identification and traceability

The organization shall meet all logistics requirements as specified by Material Planning and Logistics (MP&L). MP&L requirements are available in the Global Terms & Condition (GTC) web guides at

<https://web.fsp.ford.com/gtc/production/index.jsp?category=guides> and on MP&L-in-a-Box at <https://comm.extsp.ford.com/sites/MPLB2B/Pages/MPLdefault.aspx>.

The organization is required to achieve level "A" on the Material Management Operation Guideline / Logistics Evaluation (MMOG/LE) to achieve and maintain Q1.

Key requirements for MMOG/LE (Material Management Operation Guideline/Logistics Evaluation) compliance include:

- Annual MMOG/LE assessment completed and reported 1 May to 31 July each year
- Adherence to Ford production and service delivery rating requirements for all regions as stated in Q1
- Part identification and tracking
- Lot traceability throughout the value chain (lot traceability shall include subcontracted components of an assembly/module that are associated with compliance to any inverted delta requirement)
- Electronic communication with Ford and sub-tier suppliers
- Management and maintenance of the Ford DDL CMMS3 system
- Prevention of damage or deterioration of supplied products
- Use of the appropriate packaging forms and maintenance of the Ford DDL CMMS3 DAIA Packaging screen, as applicable. Packaging requirements and forms can be found in the packaging GTC Web Guides at <https://web.fsp.ford.com/gtc/production/index.jsp?category=guides>
- Management and maintenance of returnable dunnage. Returnable container requirements are available through the GTC Web Guides at <https://web.fsp.ford.com/gtc/production/index.jsp?category=guides>
- Adequately trained personnel, as defined in MMOG/LE.

In all cases, if unsure of the MP&L requirements, contact the production and service delivery analyst for the organization site, for each region. The analyst contact information is available through SIM.

Inverted delta part identification

The inverted delta symbol (∇) shall precede the Ford Motor Company part number for parts with Critical Characteristics, in accordance with the Packaging Guidelines for Production Parts and Shipping Parts/Identification Label Standard, both available through Ford Supplier Portal MP&L page
<https://comm.extsp.ford.com/sites/MPLB2B/Pages/MPLdefault.aspx>

Note: Branding (E108) does not require the inverted delta symbol to be included with the part number physically marked on the part.

8.5.2.1 Identification and traceability — supplemental

No Ford Customer-Specific Requirement for this section.

8.5.3 Property belonging to customers or external providers

See ISO 9001:2015 requirements.

8.5.4 Preservation

See ISO 9001:2015 requirements.

8.5.4.1 Preservation — supplemental

No Ford Customer-Specific Requirement for this section.

8.5.5 Post-delivery activities

See ISO 9001:2015 requirements.

8.5.5.1 Feedback of information from service

No Ford Customer-Specific Requirement for this section.

8.5.5.2 Service agreement with customer

No Ford Customer-Specific Requirement for this section.

8.5.6 Control of changes

See ISO 9001:2015 requirements.

8.5.6.1 Control of changes — supplemental

No Ford Customer-Specific Requirement for this section.

8.5.6.1.1 Temporary change of process controls

No Ford Customer-Specific Requirement for this section.

8.6 Release of products and services

See ISO 9001:2015 requirements.

8.6.1 Release of products and services — supplemental

Ford reserves the right to require the use of an independent third party inspector to ensure that the organization only ships compliant product to Ford facilities.

8.6.2 Layout inspection and functional testing

The organization shall perform annually a layout inspection (to all dimensional requirements) on at least 5 parts.

Where tooling has multiple cavities, tools or centers, the organization conducts the annual layout on at least one part from each cavity, tool or center, with a minimum overall sample of 5 parts.

Note: 5 parts are not required from each cavity; tool or center, only a minimum of 1 part is required from each cavity, tool or center.

The measurements are to be documented on the APQP/PPAP Evidence Workbook (Prototype or Production Measurement Results section), available through <https://web.qpr.ford.com/sta/APQP.html> .

8.6.3 Appearance items

Appearance approval requirements are specified in PPAP, Ford customer specific requirements. https://web.qpr.ford.com/sta/Phased_PPAP.html

8.6.4 Verification and acceptance of conformity of externally provided products and services

No Ford Customer-Specific Requirement for this section.

8.6.5 Statutory and regulatory conformity

No Ford Customer-Specific Requirement for this section.

8.6.6 Acceptance criteria

For guidance on product monitoring and reaction plan techniques for product conformance to specification, see the references AIAG SPC and APQP.

For ongoing process capability requirements, see Table A of this document.

8.7 Control of nonconforming outputs

8.7.1

See ISO 9001:2015 requirements.

8.7.1.1 Customer authorization for concession

Ford Motor Company authorization of product differing from Ford specifications is managed by Worldwide Engineering Release System (WERS), limited to the quantity of parts or time-period approved in the WERS Alert. This is applicable to both prototype and production level parts.

PPAP submission and Interim PSW acceptance are required for production use of parts with a WERS Alert.

Where written by the organization, Alerts must contain the following:

- The specific PPAP requirements that are not completed
- The modified specifications(s) that the part satisfies
- The justification why the modified specification(s) is acceptable
- The containment plan to assure the quality of parts (e.g. extraordinary controls / inspection process / robust measurement systems)

The period (typically in terms of days), the number of parts and the specific launch build event for which the Alert is effective

The WERS help desk can provide information on WERS via email: hwers@ford.com

WERS training is available through Ford Supplier Learning Institute (FSLI) through <https://fsp.portal.covisint.com/web/portal/home>

8.7.1.2 Control of nonconforming product — customer-specified process

No Ford Customer-Specific Requirement for this section.

8.7.1.3 Control of suspect product

No Ford Customer-Specific Requirement for this section.

8.7.1.4 Control of reworked product

No Ford Customer-Specific Requirement for this section.

8.7.1.5 Control of repaired product

No Ford Customer-Specific Requirement for this section.

8.7.1.6 Customer notification

No Ford Customer-Specific Requirement for this section.

8.7.1.7 Nonconforming product disposition

No Ford Customer-Specific Requirement for this section.

8.7.2

See ISO 9001:2015 requirements.

9 Performance evaluation

9.1 Monitoring, measurement, analysis and evaluation

Ford reserves the right to request the data collected by the organization as defined in either the pre-launch or production Control Plans.

9.1.1 General

See ISO 9001:2015 requirements.

9.1.1.1 Monitoring and measurement of manufacturing processes

Table A of this document details the on-going process capability requirements.

All process controls shall have a goal of reduction of variability, using 6-sigma or other appropriate methods.

The Statistical Process Control Manual in 2.11 of this document provides additional guidance where tool wear impacts variability.

All process metrics are to be traceable to Ford requirements.

9.1.1.2 Identification of statistical tools

The organization shall use the latest edition of the following references as appropriate:

See IATF 16949 for applicable references

Process Capability

The capability index for reporting launch process capability and ongoing production process capability is Ppk (Performance Index)

See Ford's PPAP customer specifics for the launch process capability requirements. https://web.qpr.ford.com/sta/Ford_Specifics_for_PPAP.pdf

See table A below for ongoing process capability requirements.

The organization shall maintain ongoing process capability at $Ppk > 1.33$.

The requirement for maintenance of ongoing process capability is to be included in the production Control Plan and the capability results recorded in the APQP/PPAP Evidence Workbook.

The results of monitoring process capability are to be available to Ford upon request.

When investigating a process capability issue it is advisable to use multiple indices, e.g. Pp, Ppk, Cp, and Cpk. When used together, the indices assist in the determination of sources of variation (see references on Statistical Process Control).

Table–A - Ongoing Process and Product Monitoring
Control Chart Interpretation and Reaction

The Control Chart indicates that the process:	ACTIONS ON THE PROCESS OUTPUT Based on Process Capability (Ppk)	
	Less than 1.33	Equal to or Greater than 1.33
Is in control	100% inspect*	Accept product Continue to reduce product variation
Has gone out of control	<div style="border: 3px double black; padding: 5px; text-align: center; margin: 10px auto; width: fit-content;">IDENTIFY SPECIAL CAUSE</div> 100% inspect* all product since the last in-control sample	

*The organization ensures that the 100% inspection methodology prevents shipment of any non-conforming product to Ford. The 100% inspection methodology would typically include error proofing, such as a poka-yoke.

The organization ensures that Critical Characteristics (CC) have controls which prevent the shipment of non-conforming product, regardless of the location in the supply chain (tier 1 through tier N) of the manufacture of the physical characteristic(s) associated with the Critical Characteristic. The organization records the CC controls in the APQP/PPAP Evidence Workbook.

Statistical process control on product characteristics without continuous manufacturing process controls is not appropriate or sufficient for Critical Characteristics.

9.1.1.3 Application of statistical concepts

No Ford Customer-Specific Requirement for this section.

9.1.2 Customer satisfaction

The organization shall monitor performance and customer satisfaction metrics (as defined by Q1) and updates to Ford requirements on Ford Supplier Portal (FSP) <https://fsp.covisint.com>.

It is recommended that the organization review their performance status on Supplier Improvement Metrics (SIM) at least weekly. (Some information is updated daily in SIM).

At least twice per year, the organization shall communicate customer satisfaction metrics to all employees who affect the quality of Ford Motor Company parts.

9.1.2.1 Customer satisfaction — supplemental

Certification Body Notification

The organization shall notify its Certification Body of record in writing within five (5) working days if Ford Motor Company places the site on Q1 Revocation.

This notification of the Certification Body will constitute a "customer claim" as defined by the ISO/TS 16949 Rules. This step will suspend organization's ISO/TS 16949 certification. However, a suspended certification is still acceptable for Q1 Capable Systems requirements.

Even though the Certification Body may request a status report from Ford on the implementation of corrective actions to address the Q1 revocation, the Certification Body alone must determine whether to withdraw the ISO/TS 16949 certification within 90 days of suspension.

Note 1: Reinstatement of Q1 from Revocation requires at least 6 months of acceptable organization performance. The Certification Body may remove suspension of the ISO/TS 16949 certificate if the organization's corrective actions have addressed the non-conformances leading to the certificate suspension, as determined by the Certification Body. The Certification Body may remove the suspension even though the site remains under Q1 Revoked status, accumulating the required 6 months of acceptable performance data.

Note 2: At its option, Ford may file an OEM performance complaint with a Certification Body when confronted with a specific organization quality performance issue where a root cause may be a nonconformance in the organization's quality management system.

Ford will send the notification letter to both the organization and the Certification Body's Oversight Office.

9.1.3 Analysis and evaluation

See ISO 9001:2015 requirements.

9.1.3.1 Prioritization

No Ford Customer-Specific Requirement for this section.

9.2 Internal audit

No Ford Customer-Specific Requirement for this section.

9.2.1 and 9.2.2

See ISO 9001:2015 requirements.

9.2.2.1 Internal audit programme

No Ford Customer-Specific Requirement for this section.

9.2.2.2 Quality management system audit

No Ford Customer-Specific Requirement for this section.

9.2.2.3 Manufacturing process audit

Ford Manufacturing Process Assessment Requirements

The organization is responsible to ensure that all tiers of suppliers are assessed to the applicable Ford manufacturing process standards.

Note: Self-assessment by the sub-tier suppliers, including implementation of corrective action plans as required, meets this requirement.

Refer to https://web.qpr.ford.com/sta/Ford_GTS.html on Ford Supplier Portal for all these standards except AIAG CQI-xx, which are available through AIAG.

Ford Supplier Manufacturing Health Chart Requirements

The organization shall assess compliance to Critical to Quality process requirements in accordance with APQP as specified in the Supplier Manufacturing Health Charts located at https://web.qpr.ford.com/sta/Supplier_Manufacturing_Health_Charts.html.

Heat Treat Assessment Requirements

Organizations and sub-tier suppliers providing heat treated product and heat-treating services shall demonstrate compliance to AIAG CQI-9 "Special Process: Heat Treat System Assessment" and Ford Specific CQI-9 requirements (available through https://web.qpr.ford.com/sta/CQI-9_Ford_Specific_requirements.xls); CQI-9 is available through AIAG <http://www.aiag.org/>

CQI-9 Special Process: Heat Treat System Assessment

All heat-treating processes at each organization and sub-tier supplier manufacturing site shall be assessed annually (at all tier levels), using the AIAG CQI-9 "Special Process: Heat Treat System Assessment" (HTSA) and Ford

Specific CQI-9 requirements. Assessments are also required following any heat treat process and/or changes of heat treat equipment or additions.

The organization must review that the individual assessments are current (less than 12 months old), meet the requirements above and enter the CQI-9 assessment status into GSDB Online.

GSDB Online is accessible through
<https://web.gsdb2.ford.com/GSDBBeans/servlet/gsdbeans.web.lib.GSDB>

The organization shall maintain the 2 prior annual CQI-9 assessment reports and related information at the organization's site and make them available to STA upon request. Heat Treat assessments are conducted by the organization, heat treat suppliers, sub-tier suppliers or by Ford. Demonstration of compliance to CQI-9 and Ford Specific CQI-9 requirements does not relieve the organization of full responsibility for the quality of supplied product.

To reduce the risk of embrittlement, heat-treated steel components shall conform to the requirements of Ford Engineering Material Specification WSS-M99A3-A, also available per section 0 of this document.

9.2.2.4 Product audit

No Ford Customer-Specific Requirement for this section.

9.3 Management review

9.3.1 General

See ISO 9001:2015 requirements.

9.3.1.1 Management review — supplemental

The organization management shall hold monthly QOS (Quality Operating System) performance meetings as specified in the Q1 Manufacturing Site Assessment available on <https://web.qpr.ford.com/sta/Q1.html>. The results of these QOS performance reviews shall be integral to the senior management reviews.

Note: the organization need not hold management review as one meeting, but it may be a series of meetings, covering each of the metrics monthly.

9.3.2 Management review inputs

Management review input must also include the Q1 Manufacturing Site Assessment results

9.3.2.1 Management review inputs — supplemental

No Ford Customer-Specific Requirement for this section.

9.3.3 Management review outputs

See ISO 9001:2015 requirements.

9.3.3.1 Management review outputs — supplemental

No Ford Customer-Specific Requirement for this section.

10 Improvement

10.1 General

See ISO 9001:2015 requirements.

10.2 Nonconformity and corrective action

10.2.1 and 10.2.2

The organization shall have processes and systems in place to prevent shipment of non-conforming product to any Ford Motor Company facility.

The organization should analyze any non-conforming product or process output using the 8D methodology to ensure root cause correction and problem prevention.

Customer Concerns

Organizations shall respond to Quality Rejects (QRs) by:

Note: The clock starts once Ford has sent the notification to the organization

- Responding in 24 hours
- Implementing containment in the Ford plant. The organization and/or third party must follow local procedures and site rules while carrying out containment.
- Providing certified stock

- Delivering an 8D, beginning with Symptom and Emergency Response Actions (D0) through Interim Containment Actions (D3)
- Within 48 hours of notification by the Ford plant, the organization shall notify Ford Service if the product quality issue is suspected of affecting any FCSD shipments
- Within 15 calendar days delivering the 8D or (six sigma) 6 panel with preliminary or verified root cause, and a plan to implement corrective and preventive actions with supporting data

A summary of the Quality Reject Process for North America is available through <https://web.qpr.ford.com/sta/QR2NA.htm>

Global 8D system is available on FSP (<https://web.quality.ford.com/g8d/>)

Returned Product Test/Analysis

The organization shall have a documented system for internal notification, analysis and communication of all Ford plant returns and warranty returned parts.

The organization shall communicate the results of analysis to the responsible Ford and organization work groups and include the results in the associated 8D report.

The organization shall communicate Ford plant PPM (Parts Per Million) to all organization plant team members.

The organization shall develop a system to monitor Ford plant and warranty concerns. The organization shall also implement corrective actions to prevent future Ford plant and warranty concerns.

Returned product test results are to be included in the monthly QOS performance report as part of the Management Review.

10.2.3 Problem solving

No Ford Customer-Specific Requirement for this section.

10.2.4 Error-proofing

No Ford Customer-Specific Requirement for this section.

10.2.5 Warranty management systems

Organizations shall comply with the requirements in the Ford Production Purchasing Global Terms & Conditions (PPGT&Cs), Applicable Web Guides, Q1

Requirements and the Manufacturing Site Assessment
<https://web.qpr.ford.com/sta/Q1.html>.

10.2.6 Customer complaints and field failure test analysis

See ISO 9001:2015 requirements.

10.3 Continual improvement

See ISO 9001:2015 requirements.

10.3.1 Continual improvement — supplemental

No Ford Customer-Specific Requirement for this section.

A vertical bar in the margin indicates requirements updated since the prior version (no bars are included in a new release).

Sections updated	Date updated
New release for IATF 16949	February 2017