

# IATF Transfer Requirements for clients certified to IATF 16949 by LGAI

## FOREWORD

The contract between the IATF-recognized certification body LGAI (LGAI Certification Technological Center) and the IATF (International Automotive Task Force) will be terminated effective 31<sup>st</sup> December 2021 at the request of LGAI.

In the following the IATF defined a set of requirements, concessions and special waivers to minimize the impact and assist clients that intend to maintain IATF 16949 certification by an IATF-recognized certification body.

As of the contract termination date, clients will no longer be able to receive IATF-recognized IATF 16949 audits from LGAI. Clients with existing valid IATF 16949 certificates will have their certificates cancelled (or expire) according to the date(s) listed in section 1 below.

It is strongly recommended to immediately start transfer activities to another IATF-recognized certification body. The initial step to transfer requires the clients to establish a legally enforceable contract with another IATF-recognized certification body. Clients shall ensure a legally enforceable contract is established early enough to be able to transfer to another IATF-recognized certification body. The list of IATF-recognized certification bodies can be found under:

[www.iatfglobaloversight.org/certification-bodies/under-contract/](http://www.iatfglobaloversight.org/certification-bodies/under-contract/)

### 1. Certificate Validity of LGAI issued certificates against IATF 16949

All IATF 16949 certificates issued by LGAI that are valid as of 30<sup>th</sup> September 2021, will remain valid according to the two following scenarios:

- a) if the next regular audit were to be a surveillance audit, the certificate remains valid until the due date of this next regular surveillance audit (refer to the IATF Rules, 5<sup>th</sup> Edition, Table 5.1: Surveillance interval) plus additional 120 calendar days.
- b) if the next regular audit were to be a recertification audit, the certificate remains valid until its expiration date.

A new certificate replacing the shown expiration date will not be issued however this official IATF communication together with the IATF Database entry will be the evidence needed in order to allow a transfer to another IATF-recognized certification body.

## 2. Transfer Requirements for clients holding a valid IATF 16949 certificate issued by LGAI

- a) Every LGAI client who has a valid IATF 16949 certificate (see section 1 above) is permitted to conduct a transfer audit to another IATF-recognized certification body of their choice until the due date of their next regular audit.

If a transfer audit is not conducted until the due date of the next regular audit, the client is required to start over again with an initial certification audit, including a stage 1 readiness review.

The clients shall sign the contract with the new certification body without due delay, at the latest until 31<sup>st</sup> January 2022 to ensure that the new certification body can plan the transfer audit early enough.

- b) For the transfer audit, the new IATF-recognized certification body is required to apply the normal transfer requirements of the IATF Rules 5<sup>th</sup> Edition, section 7.0 with the following exceptions:
- the requirements of 7.1.1 c), d) and e) do not apply in this special situation
  - the requirements under 7.1.1 f) and g) do not apply in this special situation. However, if the IATF 16949 certificate is currently in a suspension status and the suspension is initiated due to the IATF Rules 5<sup>th</sup> Edition, clauses 8.1 a), b) or c) the new certification body shall conduct at least one onsite special audit to verify the effective implementation of the identified corrective actions.
  - the requirements under 7.1.1 h) are applicable with the following exception: 100% resolution for a nonconformity is acceptable however the new certification body shall conduct at least one onsite special audit with appropriate audit time to verify the effective implementation of the identified corrective actions prior to the transfer audit.
- c) The audit days for the transfer audit shall be calculated according to the following requirements:
- if the next regular audit would have been a surveillance audit, the audit days for the transfer audit shall be equivalent of a surveillance audit
  - if the next regular audit would have been a recertification audit, the audit days for the transfer audit shall be equivalent of a recertification audit
- d) The new certification body shall enter the audit as a transfer audit in the IATF Database and issue an IATF 16949 certificate according to the requirements in the IATF Rules 5<sup>th</sup> Edition, section 5.13, with the following exception:
- If the next regular audit would have been a surveillance audit the new certification body shall issue the new certificate with the original expiration date of the former

certificate issued by LGAI. Upon the certificate upload to the IATF database the new CB shall send a copy of this certificate with shortened validity via email to VDA QMC.

If you have questions regarding the above requirements, concessions and special waivers, please contact the new IATF-recognized certification body or VDA QMC.

Please use this official communication in order to provide evidence to your customer(s) or other stakeholders concerning the validity of your certificate and the special transfer requirements.